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SPECTRAL AND THERMAL PROPERTIES OF RHODOPSINS:  
CLOSELY RELATED BUT NOT TIGHTLY COUPLED

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Rhodopsins, the primary molecules of vision in all seeing animals, can be activated not only by photon energy (light) but also by thermal energy (heat). Spectral absorbance is evolutionarily tuned by critical residues in the amino acid sequence of the protein part (opsin), which affect the energy needed for 11-*cis* → all-*trans* isomerization of the covalently bound chromophore. Already in the 1940's it was suggested that high sensitivity to long-wavelength light, being indicative of a low energy barrier for activation, should correlate with high probability for thermal activation, and that randomly occurring thermal activations would constitute an irreducible noise setting absolute constraints for the detection of weak light signals. This idea has received strong experimental as well as theoretical support over the last 40 years. Most of the experimental evidence comes from physiological studies of light responses and dark noise in the light-sensitive current of vertebrate photoreceptor cells. Here I review this work, which has firmly established the correlation of spectral sensitivity and thermal noise and led to new theoretical insights. On the other hand, there remains significant freedom for independent adjustment of the two variables by tinkering with the opsin. This is a question of fundamental evolutionary as well as practical interest.

*Keywords:* vision, photopigment, chromophore, activation energy, photoreceptor, signal/noise

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All seeing animals use basically the same molecule for capturing photons and triggering the phototransduction cascade. The molecule, generically referred to as (visual, or “type 2”) rhodopsin, consists of a 7-transmembrane G-protein-coupled receptor, opsin, to which a light-sensitive prosthetic group, the chromophore, is covalently bound [1, 2]. The chromophore is always some form of retinaldehyde (retinal); in vertebrates derived either from vitamin A1 (11-*cis* retinal, A1) or A2 (11-*cis* 3,4-didehydroretinal, A2). Use of the latter is almost entirely restricted to fishes and amphibians [3] (but see [4]), requiring that the animal possess the enzyme Cyp27c1 necessary for synthesizing A2 from A1 [5]. The most important functional variable of visual pigments is the absorbance spectrum, expressing the probability of absorbing photons as function of their energy, usually displayed as the fraction of light absorbed as function of wavelength. Absorbance spectra can be uniquely defined by a template (one for A1 and one for A2 pigments) with a single variable, the wavelength of maximum absorbance ( $\lambda_{\max}$ ), which defines both the spectral position and the width of the spectrum [6]. Switching from A1 to A2 chromophore in the same opsin may

be used for red-shifting  $\lambda_{\max}$  on a physiological time scale [7, 8], while the universal way of tuning the absorbance spectrum of functional visual pigments is by mutations in the amino-acid sequence of the opsin, effective on evolutionary time scales.

It is *a priori* reasonable to think that a molecule designed to be activated by absorbing light energy cannot be perfectly stable against activation by thermal energy. H. Autrum [9] first pointed out that random activation of rhodopsin molecules would constitute a light-identical shot noise setting an ultimate limit to the detection of real photons, and H.B. Barlow [10] showed that the statistics of human light detection near the absolute threshold is consistent with this idea. E.J. Denton and M.H. Pirenne [11] had previously estimated an upper limit for the possible rate of occurrence of such activations in humans, translating into  $<0.3 \text{ rod}^{-1} \text{ s}^{-1}$  in a rod with  $\sim 10^8$  rhodopsin molecules, while emphasizing that the rate could in fact be so low as to lack any significance. Whatever the exact value, it was clear that such rare events could not be approached by conventional biochemical methods.

### DISCRETE “DARK” EVENTS IN RODS AS PROXIES FOR THERMAL ACTIVATION OF RHODOPSIN

In the late 1970's, it became possible to “see” single-rhodopsin events electrophysiologically, taking advantage of the powerful molecular amplification of phototransduction in dark-adapted rod cells. The suction-electrode technique developed by K.W. Yau et al. [12], inspired by E. Neher and B. Sakmann [13], allowed recording the light-sensitive current of single rods essentially free from confounding effects of rod-rod coupling and voltage-sensitive channels. The first recordings were from the sturdy rods of the cane toad, *Bufo marinus*<sup>1</sup>. Under very dim background illumination, discrete current bumps of fairly standardized shape and size could be discerned, Poisson-distributed in time, as expected from random arrival and absorption of photons [14]. The size of these quantal responses (SQRs) was  $\sim 1 \text{ pA}$  at peak, representing a  $\sim 5\%$  decrease of the circulating current in a dark-adapted rod. During the following decades, the molecular events that shape most aspects of rod and cone responses to light have been clarified in considerable detail through a fruitful interaction of biochemical and electrophysiological studies. Precisely how the fairly reproducible SQRs are generated has been one of the most challenging questions. Full consensus has not yet been reached, but the crucial variables of the amplification [15–18] and termination reactions are now known with reasonable quantitative precision [19–25].

D.A. Baylor et al. [26] found that even in absolute darkness there still occurred occasional discrete current bumps indistinguishable from the SQR. The obvious hypothesis was that these “dark events” originate at the same point as the SQR, at the very input to the amplification cascade, i.e., in the rhodopsin molecule, rather than arising e.g. from bursts of fortuitous synchronous activation of large numbers of intermediates in the cascade (G-proteins or PDE molecules). Thus they seemed to offer an exceptional window into the “dark life” of the rhodopsin molecule.

This notion faced a serious problem, though. From the temperature-dependence of the rates of dark events, D.A. Baylor and colleagues had determined an activation energy of  $\sim 22 \text{ kcal mol}^{-1}$  [26]. They stated that this value “seem(s) consistent with isomerization of the 11-*cis* retinal chromophore as the mechanism for thermal activation”, because it was close to that determined for thermal isomerization of the chromophore in aqueous digitonin ( $24.5 \text{ kcal mol}^{-1}$ ) [27]. About the same time, however, A. Cooper [28] showed that the ground-state energy of the early photobleaching product, bathorhodopsin, is  $35 \text{ kcal mol}^{-1}$  higher than that of rhodopsin, and argued for a  $45 \text{ kcal mol}^{-1}$  energy barrier for the

<sup>1</sup> Several of the toads and frogs that have been central model species in photoreceptor research now have different official names from those used when the studies were done. *Bufo marinus* is now *Rhinella marina*, and the green frogs *Rana catesbeiana*, *R. pipiens* and *R. ridibunda* are *Lithobates catesbeianus*, *L. pipiens* and *Pelophylax ridibundus*, respectively. In this article I shall use their old names.

ground-state (thermal) 11-*cis* → all-*trans* transition. Neither did D.A. Baylor et al. cite two earlier studies of frog rhodopsins in solution: R.J. Lythgoe and J.P. Quilliam [29] had estimated an activation energy of 44 kcal mol<sup>-1</sup> for thermal bleaching, and R.C.C. St. George [30] had arrived at a photoactivation energy of 48.5 kcal mol<sup>-1</sup> based on the longest wavelength (590 nm) where photon energy alone sufficed for activation (see below). I shall hereafter denote by  $E_a$  and  $E_{aH}$  the energies for activation by light and by heat, respectively – estimates as well as the underlying entities, even though this may occasionally cause some confusion.

The discrepancy between apparent activation energies for photic and thermal activation caused much speculation on differing molecular routes, stressing that there is actually no reason why  $E_a$  and  $E_{aH}$  should be the same. R.B. Barlow and colleagues [31] first came up with a testable hypothesis. They proposed that thermal events originate in a small (<0.01%) subpopulation of rhodopsin molecules where the Schiff-base linkage between chromophore and opsin is unprotonated. According to their molecular modelling, this could lower the activation energy for ground-state 11-*cis* → all-*trans* isomerization by about half. Experimental testing is straightforward in principle, since the proportion of rhodopsin molecules with unprotonated Schiff base must increase with alkalinization and decrease with acidification in predictable manner. In *Limulus*, they found that correlated decreases in pH and in the activity of optic nerve fibers could be induced by efferent stimulation. However, the metric they used, spiking in the afferent nerve, is at least twice removed from the rhodopsin molecule. First, decreased activity may result from some factor other than a decreased rate of thermal “quantum bumps” in the photoreceptors (e.g. acidification as such). Second, “quantum bumps” in *Limulus*, originally reported by S. Yeandle [32], do not bear a straightforward relation to activation of single rhodopsin molecules [33]. Subsequent experiments on toad rods [34] and salamander cones [35] indicated no relevant effect of changing pH (intra- and extracellular) on thermal event rates. The deprotonation hypothesis could be rejected.

A second hypothesis disrupting the connection between SQR-like “dark” events in rods and intrinsic properties of the rhodopsin molecule has been advanced by I. Bókkon and R.L.P. Vimal [36]. They proposed that the events are in fact responses to real photons, “biophotons”, emitted by the retinal tissue. However, V.I. Govardovskii and coworkers [37] showed by direct measurements that biophoton emission rates in frog and sterlet retina are >100-fold too low to account for the discrete rod events recorded in the same species.

A third possibility that could cast doubt on the use of light-like noise as a measure of thermal rhodopsin activation is if cannot, after all, be distinguished from noise triggered at a later stage of the phototransduction cascade. A recent study [18] shows that the first amplification step, the number of G-proteins activated per activated rhodopsin, in dark-adapted mouse rods is only 12–14 rather than the commonly quoted number ~100, and that PDE-initiated events may be more similar to the SQR than previously thought. This could explain the difficulty of separating SQR-like events from “continuous noise” in mouse rods [38], but quantitative relations are likely to vary between species. In many species SQRs are much more distinct from continuous noise [39].

#### ESTIMATES OF THERMAL AND PHOTIC ACTIVATION ENERGY RECONCILED

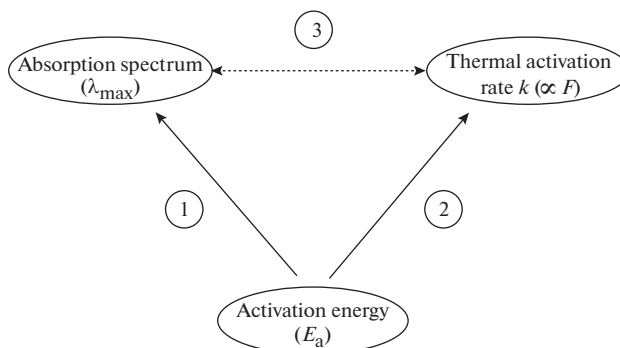
The problem of the 2-fold discrepancy between estimates of photic [28, 40, 41] and thermal [26] activation energies remained unresolved for more than 20 years. The Gordian knot was cut by P. Ala-Laurila and colleagues [42] who argued that the low thermal estimate was no more than an analytical artifact. R.J. Lythgoe and J.P. Quilliam [29] had already in 1938 considered whether rhodopsin activation kinetics would be affected by complexities dealt with in a recent treatise by C.N. Hinshelwood [43], and R.C.C. St. George [30] and P.R. Lewis [44] had applied some aspects of it, but only in [42] were its full implications

developed. Briefly, the thermal energy distribution of complex molecules like rhodopsin, or even the 11-*cis* retinaldehyde chromophore, cannot be described by simple Boltzmann statistics, but must take into account the internal energy of the molecule present in a large number of vibrational modes. The chromophore alone consists of  $n = 49$  atoms and has  $3n - 6 = 141$  kinetic degrees of freedom. The number of vibrational modes  $n$  ( $\leq 141$ ) that actually contribute towards 11-*cis*  $\rightarrow$  all-*trans* isomerization in a given opsin environment is unknown and could depend e.g. on the amino acid residues around the chromophore pocket. The predicted effect of temperature on the fraction  $F$  of molecules exceeding  $E_{\text{aH}}$ , and hence on dark event rates, will depend strongly on  $n$ , implying that the temperature-dependence of D.A. Baylor et al's [26] data shown as an Arrhenius plot in Fig. 2 will yield very different values of  $E_{\text{aH}}$  depending on what  $n$  value is assumed. Based on Boltzmann statistics, the slope of the straight line indeed gives  $E_{\text{aH}} = 21.9 \text{ kcal mol}^{-1}$ , but based on Hinshelwood statistics it may give, for example,  $E_{\text{aH}} = E_{\text{a}} = 44.3 \text{ kcal mol}^{-1}$  (where  $E_{\text{a}}$  is the estimated photoactivation energy of *Bufo marinus* rhodopsin in [45]) if  $n = 79$ , or  $E_{\text{aH}} = 34.3 \text{ kcal mol}^{-1}$  if  $n = 44$ . The last example was given by P. Ala-Laurila et al. [42] to show the robustness of their model against a possible 5–10  $\text{kcal mol}^{-1}$  difference between the electronically excited state and the peak of the ground-state energy barrier ( $E_{\text{a}} - E_{\text{aH}}$ ) as suggested by molecular modelling [46, 47]. Obviously, their approach did not enable actual estimation of  $E_{\text{aH}}$ , but it removed the supposed incompatibility with estimates of  $E_{\text{a}}$ , allowing that thermal activation may follow the same molecular pathway as photoactivation, starting from 11-*cis*  $\rightarrow$  all-*trans* isomerization of the chromophore.

This is consistent with current molecular understanding. The energies of the ground-state barrier for thermal activation and the electronically excited state induced by photon absorption are expected to be close in view of the femtosecond transition from the latter to the earliest identified ground-state photoproduct [46–48]. Quantum chemical modelling [49] suggests that the transition state mediating thermal activation has the same electronic structure as the excited state, manifesting intrinsic chromophore features associated with the existence of a conical intersection between the ground and excited states (cf. [50]). Importantly, this gives a theoretical, molecular-level foundation for a correlation between the wavelength of maximum absorbance  $\lambda_{\text{max}}$  and the rate of dark events  $k$  (“the Barlow correlation”). The model has recently been applied to the opsins of the endemic cottoid fishes of Lake Baikal in an attempt to identify specific amino acid residues that may regulate both spectral and thermal properties in connection with the blue-shift of corresponding pigments between species with increasing habitat depth [51].

### EMPIRICAL TESTING OF “BARLOW’S HYPOTHESIS”

The basically simple idea that long-wavelength sensitivity should correlate with high thermal activation rates first appears in the literature in a brief comment by H. de Vries in 1949 [52]: high sensitivity to long light wavelengths (low-energy photons) entails a low energy barrier for activation, and this will imply a high probability that the barrier be surmounted by molecular thermal energy alone. The conceptual relations between activation energy  $E_{\text{a}}$ , spectral absorbance (captured by  $\lambda_{\text{max}}$ ) and the fraction  $F$  of rhodopsins with thermal energy exceeding  $E_{\text{a}}$  are shown by the scheme in Fig. 1. H.B. Barlow [53] gave the idea its classical formulation, proposing it as a teleological explanation for the ubiquitous blue-shift of night vision compared with daylight vision (the Purkinje shift). He pointed out that the shift does not increase photon catch at night, since star- and moonlight is in fact somewhat more “reddish” than daylight, but could be useful as a means of decreasing thermal noise.



**Fig. 1.** General scheme of the presumed interrelations (arrows marked ①, ② and ③) between three main functional variables of rhodopsins. Values for all of these can be derived from electrophysiological experiments on the light-sensitive current of photoreceptor cells. Both the absorption spectrum and the thermal activation rate are functions of the activation energy  $E_a$ , hypothesized to be the same or close and well-correlated for photic and thermal activation. As a consequence, the absorption spectrum and the thermal event rate are correlated (dashed arrow ③). Barlow (1957) [53] initially assumed ①  $\lambda_{\max} = hc/E_a$ , ②  $F = \exp(-E_a/kT)$  according to Boltzmann statistics, but envisaged that the assumptions could be improved, referring to Lewis (1955) [44], who replaced  $\lambda_{\max}$  in ① by a wavelength  $\lambda_0 > \lambda_{\max}$  and suggested the use of Hinshelwood statistics. A new model based on these ideas was fully developed by Ala-Laurila et al. (2004) [42], who based ③ on the regression of  $E_a$  on  $\lambda_{\max}$  in an empirical data set, and ② on the fraction of molecules with thermal energy  $\geq E_a$  according to Hinshelwood statistics (see Text).

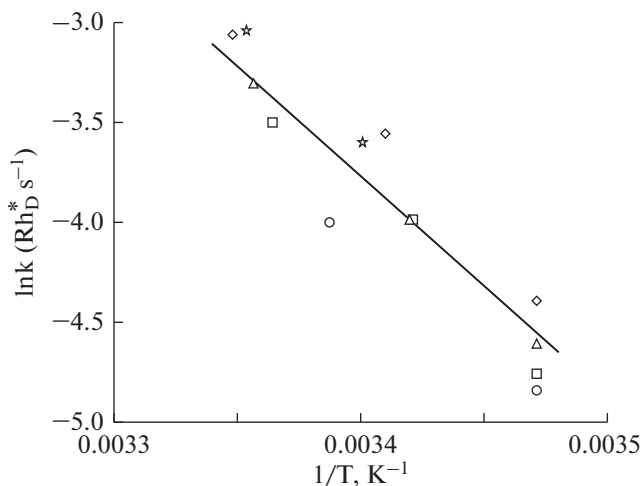
H.B. Barlow’s hypothesis inspired several experimental studies of dark noise in rods with different spectral sensitivities even while the conflict between the estimated values  $E_{aH}$  and  $E_a$  remained unresolved. The first of these, on the blue-sensitive (433-nm) “green” rods of toad, provided a disappointment, as estimated dark event rates per pigment molecule were more than 4 times *higher* than in the regular 503-nm rods ([54] c.f. however [39] and below). We now know that the pigment of these blue-sensitive rods is not a rod rhodopsin (Rh1), but a cone (SWS2) pigment, albeit with a stabilizing mutation [55, 56]. Luckily, studies on bullfrog rods with A1 (502 nm) and A2 (525 nm) pigment [57] and sturgeon (A2) rods with  $\lambda_{\max} = 538$  and 549 nm [58] were more encouraging, showing a clear correlation between long-wavelength sensitivity and high rates of SQR-like dark events. This kept interest in Barlow’s hypothesis alive.

### THE PHOTOACTIVATION ENERGY $E_a$

In the general scheme (Fig. 1), the activation energy  $E_a$  is in a pivotal position, being the determinant of both spectral absorbance and rates of thermal events. H.B. Barlow [53] initially assumed that  $E_a$  would be equal to the photon energy at the wavelength of maximum absorption or “maximum visibility” ( $E_a = hc/\lambda_{\max}$ ), but he envisaged improvements by taking into account, *inter alia*, “Lewis’s further development of Stiles’s theory”. One such development was the realization that  $E_a$  corresponds to the photon energy not at  $\lambda_{\max}$ , but at some wavelength  $\lambda_0 > \lambda_{\max}$ , recognizable as the longest wavelength where activation can still occur without supplementation by thermal energy [30, 44, 59]:

$$E_a = hc/\lambda_0 = Chc/\lambda_{\max}, \quad (1)$$

where  $C = \lambda_{\max}/\lambda_0 < 1$  may or may not differ between pigments (see below). All this built on Stiles’ (1948) “physical interpretation of the spectral sensitivity curve of the eye” [59],



**Fig. 2.** Arrhenius plot of the temperature-dependence of rates of dark SQR-like events in rods of *Bufo marinus*. Each symbol type marks data from one rod in Table 2 of Baylor et al (1980) [26]. The least-square regression line fitted to the points gives different estimates  $E_{aH}$  for the thermal activation energy depending on the underlying assumptions: (i) conventional Arrhenius analysis relying on Boltzmann statistics for simple particles as in [26] gives  $E_{aH} = 21.9$  kcal/mol; (ii) Hinshelwood statistics for complex molecules assuming molecular vibration modes  $n = 79$ , gives thermal activation energy  $E_{aH} = 44.3$  kcal/mol, equal to the photoactivation energy  $E_a$  estimated from rods of the same species in [45]; (iii) Hinshelwood statistics assuming  $n = 44$  gives  $E_{aH} = 34.3$  kcal mol<sup>-1</sup>, which would accommodate a possible energy gap of 5–10 kcal mol<sup>-1</sup> between  $E_a$  and  $E_{aH}$  [42].

which also provided a rationale for estimating  $E_a$  through the effect of warming on long-wavelength sensitivities. At wavelengths  $\lambda$  corresponding to photon energies  $hc/\lambda > E_a$ , the activation probability should not depend on the thermal energy of the rhodopsin molecule, but beyond a critical wavelength  $\lambda_0$  the insufficient photon energy requires added thermal energy to activate the pigment. In this domain, raising temperature will therefore increase the probability of activation (i.e., increase sensitivity), the more so the longer the wavelength. In electrophysiological experiments there is no upper limit for the range over which this effect can be measured other than the power of the light source, enabling fairly accurate determination of  $E_a$  (and  $\lambda_0$ ) [60–64, 45, 39].

Summarizing results of their measurements on 12 photoreceptor species (both rods and cones with both A1 and A2 pigments, and two pigments in crustacean rhabdoms), Ala-Laurila et al. (2004) [64] could not confirm the simple relation expressed by eqn. (1), but still found a significant correlation between  $E_a$  and  $1/\lambda_{\max}$  described by the following linear regression equation:

$$E_a = 7.10 \text{ kcal/mol} + 19800 \text{ nm kcal/mol} \times (1/\lambda_{\max}) \text{ nm}^{-1}. \quad (2)$$

The coefficient of determination was only 0.73, however, implying that 27% of the variance remained unexplained variation around the regression line. Moreover, the line itself is less steep than expected.

The data underlying eqn. (2) had been obtained by a combination of microspectrophotometry and transretinal ERG recording potentially susceptible to several sources of error, which moreover may differ between species. D.G. Luo et al. [39] reexamined the  $E_a - 1/\lambda_{\max}$  relation in 7 species of vertebrate rods and cones, recording spectral sensitivities with the more pre-

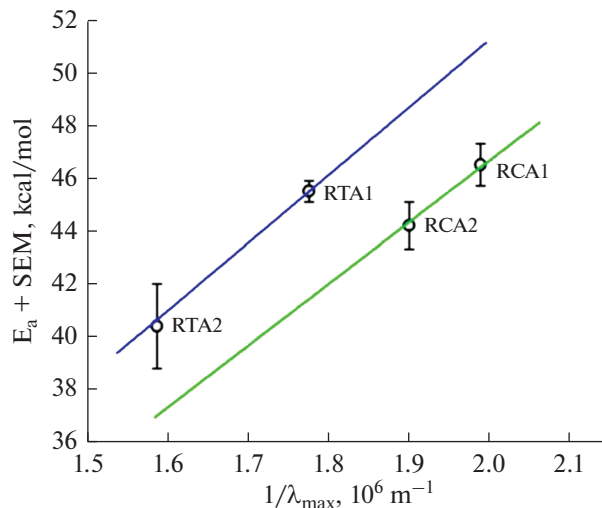
cise suction-pipette technique. They did find a close agreement with eqn. (1), with  $\lambda_0$  as a remarkably constant multiple of  $\lambda_{\max}$  across species (mean ratio  $\lambda_{\max}/\lambda_0 \pm \text{SD} = 0.84 \pm 0.01$ ). Strict comparison between [64] and [39] is largely impossible, though, as they are based on mainly non-overlapping samples of photoreceptor species. For the *Bufo marinus* “red” rod included in both, the reported values differ significantly ( $E_a = 44.3 \text{ kcal mol}^{-1}$ ,  $\lambda_{\max} = 503 \text{ nm}$ ,  $\lambda_{\max}/\lambda_0 = 0.78$  in [64] versus  $E_a = 48.0 \text{ kcal mol}^{-1}$ ,  $\lambda_{\max} = 500 \text{ nm}$ ,  $\lambda_{\max}/\lambda_0 = 0.84$  in [39]).

While there is no doubt of the superior quality of the suction-pipette recordings in [39], the differences cannot be lightly dismissed as being due to poor quality of the ERG data. The presence of true variation in  $\lambda_{\max}/\lambda_0$  between species is suggested by a comparison of the  $E_a$  values of two A1–A2 pigment pairs (Fig. 3 based on ERG, cf. Fig. 3A in [64]). One pair consists of L-cone pigments of juvenile (A2 with  $\lambda_{\max} = 629 \text{ nm}$ ) and adult (A1 with  $\lambda_{\max} = 562 \text{ nm}$ ) *Rana temporaria* (original data from [61]), the other pair of rod pigments from adult *Rana catesbeiana* (A2 pigment with  $\lambda_{\max} = 525 \text{ nm}$  and A1 pigment with  $\lambda_{\max} = 502 \text{ nm}$ ; original data from [62]). The two straight lines plot eqn. (1) with  $\lambda_{\max}/\lambda_0 = 0.89$  for the *R. temporaria* L-cones (blue) and 0.81 for the *R. catesbeiana* rods (green). The good fit of the two different lines to the respective pair of points suggests three things. First, upon a chromophore switch in the *same opsin*, changes in  $E_a$  and  $\lambda_{\max}$  may indeed be tightly coupled as described by eqn. (1). Second, random variation of the ERG-based estimates seems to be fairly small, because otherwise one would expect larger variation of  $\lambda_{\max}/\lambda_0$  within each pair. Third, as there were no clear sources of systematic error liable to differentially affect estimates for these two species of frogs, the ca 10% difference in  $\lambda_{\max}/\lambda_0$  between the two pairs appears significant. Pending new data, a cautious conclusion is that the relative shallowness of the regression equation (2) does reflect a real biological trend. One may hypothesize that the evolution of opsins that confer high long-wavelength-sensitivity has also involved selection against a “default” decrease in activation energy, as far as decoupling of the two by tinkering with the amino acid sequence is possible.

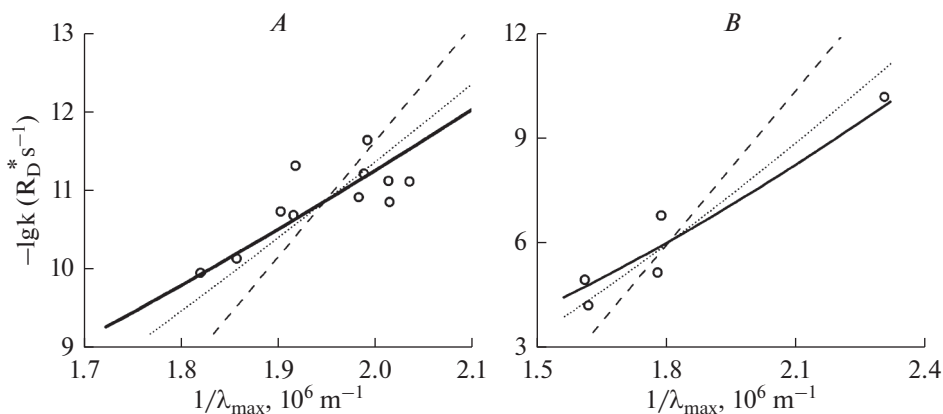
#### SYNTHESIS: RATES OF THERMAL ACTIVATIONS VS. SPECTRAL ABSORBANCE

From the viewpoint of visual function, what finally matters is the resultant relation between  $\lambda_{\max}$  and the rate of randomly occurring thermal activations  $k$  (dashed arrow ③ in Fig. 1). P. Ala-Laurila et al. [42] compared the data then available for rods and cones with their model, where  $k$  was predicted by using the empirical equation (2) for the  $E_a - 1/\lambda_{\max}$  relation, and the fraction of molecules with energy exceeding  $E_a$  was obtained from Hinshelwood’s distribution for  $n = 79$  (the same value that made  $E_{aH} = E_a$  for *Bufo marinus* rods in Fig. 2). Fig. 4 plots the comparison as  $\lg k$  against  $1/\lambda_{\max}$  for rods (A) and cones (B), reproduced from [42]. The solid lines show the model prediction, where the vertical positioning of each line is the only parameter freely fitted. This corresponds to fixing the “pre-exponential factor” in the Arrhenius equation, i.e., fixing the absolute rates of dark events (which are some three orders of magnitude higher in cones than in rods). The slopes of the lines provide an acceptable description of the admittedly sparse and scattered data for both rods and cones, in qualitative agreement with Barlow’s hypothesis. The prediction of Barlow’s original formulation (dashed line) is shown for comparison. The dotted lines show the “robustness test”, i.e. the model prediction assuming a  $10 \text{ kcal mol}^{-1}$  difference between  $E_a$  and  $E_{aH}$  (whereby  $n = 44$  is assumed, based on the fit to the temperature data in Fig. 2).

Again, D.G. Luo et al. [39] provided new data of reference quality against which the earlier results must be assessed. They mainly found a much tighter connection between  $\lg k$ ,  $1/\lambda_{\max}$  and theory. This might partly reflect the advantage of standardized protocols,

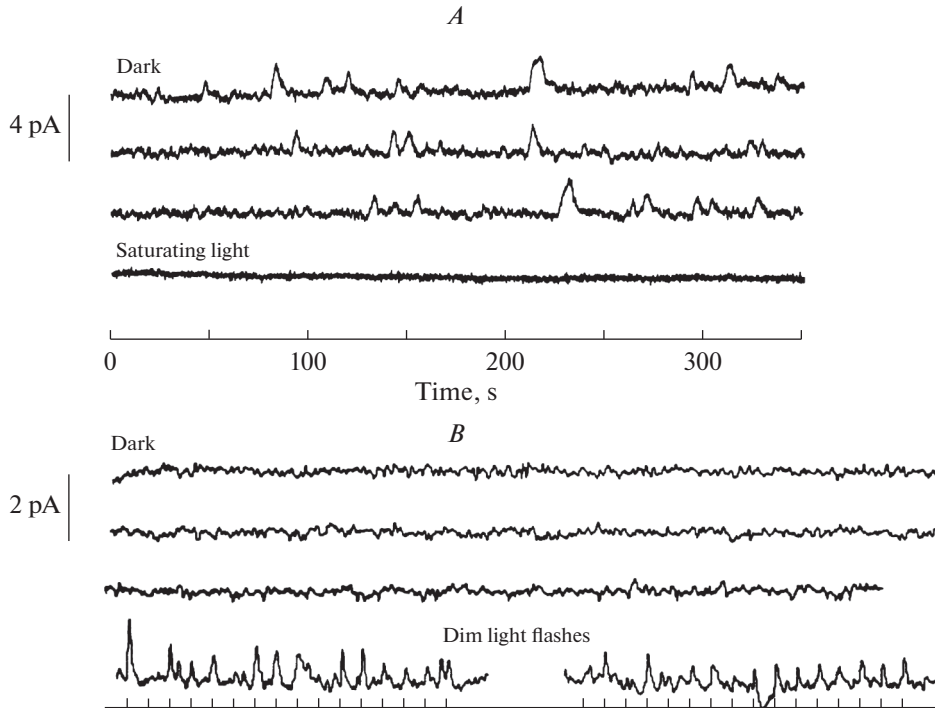


**Fig. 3.** Photoactivation energies of two A1–A2 pigment pairs. Data points marked RT represent L-cone pigments of *Rana temporaria* ( $\lambda_{\max} = 562$  nm (A1) and 629 nm (A2), values from [61]). Data points marked RC represent rod pigments of *Rana catesbeiana* ( $\lambda_{\max} = 502$  nm (A1) and 525 nm (A2), values from [62, 57]). Both lines are described by the general equation  $E_a = hc/\lambda_0$ , where  $\lambda_0 = 1.12 \lambda_{\max}$  for the RT L-cones (blue line) and  $1.23 \lambda_{\max}$  for the RC rods (green line). Reproduced from [64].



**Fig. 4.** The relation between rates of thermal dark events per rhodopsin molecule  $k$  [ $R_D^* s^{-1}$ ] and spectral sensitivity measured by the wavelength of peak absorbance  $\lambda_{\max}$  in rods (A) and cones (B). Rates  $k$  were estimated from dark noise recordings in single cells, and spectral absorbance by microspectrophotometry. Note that the plot of  $-\lg k$  against  $1/\lambda_{\max}$  [ $10^6 m^{-1}$ ] places the noisiest and most long-wavelength-sensitive pigments at the bottom left and the most stable and short-wavelength sensitive ones at the upper right. In both panels the full-drawn line represents the model of Ala-Laurila et al. (2004) [42] with the same number of molecular vibration modes ( $n = 79$ ), i.e., the number that provided the best fit to the *Bufo* rod temperature data in Fig. 2, combined with the relation between  $E_a$  and  $1/\lambda_{\max}$  given by eqn. (2). The dotted lines show the model prediction assuming a 10 kcal/mol  $E_a - E_{aH}$  difference and  $n = 44$  (see Text). The dashed lines show the prediction of Barlow's hypothesis as originally formulated [53]. Reproduced from Figs. 2 and 3 in [42].

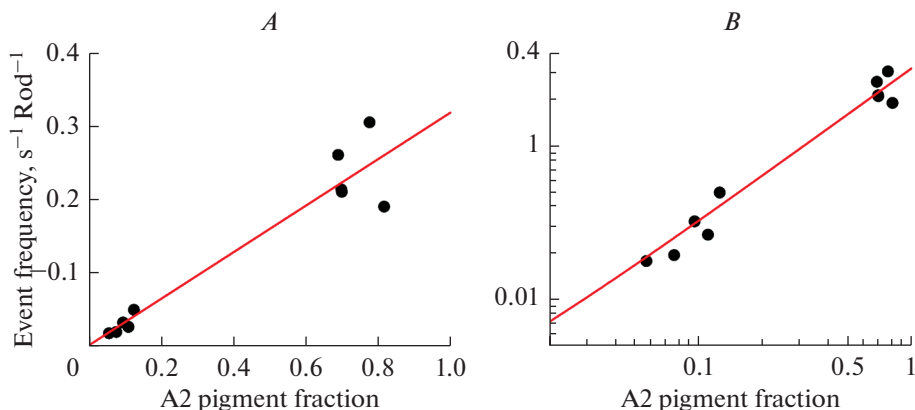




**Fig. 5.** Differing rates of discrete dark events in rhodopsin rods of a toad and a frog with virtually identical absorption spectra ( $\lambda_{\max} \approx 502\text{--}503$  nm; [6]): (A) from a rod of *Bufo marinus* [26]; (B) from an A1 rhodopsin rod of *Rana catesbeiana* [57]. All records are displayed on the same time scale, shown below panel (A); current scale bars on the left. Temperature was 22 °C for *Bufo* and 17 °C for *Rana*. In both (A) and (B), the three top traces show recordings in complete darkness. In *Bufo* (A), discrete dark events (“bumps”) can be clearly discerned as well as continuous noise. The continuous noise is also of biological origin, as shown by the bottom trace where all biological noise has been eliminated by keeping the rod saturated with strong background light. In *Rana* (B), only continuous noise but no clear discrete events can be seen during nearly 20 minutes of dark recording. This is not due to poor preparation or recording, as shown by two epochs of light responses (bottom traces) to flashes of nominal light intensity 1.25 R\* delivered at 10 s intervals. The SQR amplitude is about 0.4 pA as determined both from the nominal light intensity (0.43 pA) and from the variance-to-mean ratio of the flash responses (0.39 pA). The first flash epoch was recorded before the dark recordings, the second between the second and third dark record.

avoiding the inter-laboratory variation affecting data assembled from many studies. However, precisely because of the persuasiveness of their elegant study, it seems important to keep up awareness that not everything can be so neatly wrapped up. By the examples in Figs. 5 and 6, I wish to emphasize that there exists real and substantial variation in dark event rates between rod rhodopsins that differ negligibly in  $\lambda_{\max}$ . On a general level, this can be seen at a glance in Fig. 4 A from the scatter of  $k$  values near  $1/\lambda_{\max} \approx 2 \times 10^6 \text{ m}^{-1}$ , i.e., for rods having typical rod  $\lambda_{\max}$  values  $\approx 500$  nm.

Fig. 5 shows dark current recordings from rods of two anuran species with roughly the same  $\lambda_{\max}$  (502–503 nm). Panel A shows the iconic first example of dark rod events published by Baylor et al. (1980) [26]. In the 1050 seconds of recording from a *Bufo marinus* rod, a fair number of discrete “bumps” (some 20) can be identified by eye. This and similar recordings from 9 cells, analyzed by several different methods in their study, indicated 0.02–0.03 events per rod per second. Panel B shows a recording from an A1 rod of *Rana*



**Fig. 6.** Dark event rates in 5 salamander rods as a function of the estimated fraction of A2 pigment in the rods before and after replacement of a significant part of the (native) A2 chromophore by A1, plotted on linear (A) and logarithmic (B) scales. The linear regression of event rate ( $Y$ ) on A2 content ( $X$ ) ( $Y = 0.0009 + 0.318X$ , straight line) extrapolates (for  $X = [A2] = 0$ ) to one event per 18 minutes among the ca.  $3.8 \times 10^9$  A1 rhodopsin molecules of the mean recorded rod volume. The rate per molecule of visual pigment is similar to that in A1 rods of *Rana catesbeiana* (cf. Fig. 5 B, where there is not a single dark event during nearly 20 minutes of recording). Reproduced from [67].

*catesbeiana* [57]. In ca 20 minutes, not a single discrete bump can be seen (implying a rate of  $<0.0008$  per second), nor did more sophisticated analyses indicate any. Two epochs of responses to light flashes delivering ca 1 photoisomerization on average are shown below the “dark” traces. The first of these was taken before all the dark epochs, the second between the two latter ones, showing that the absence of dark events is not due to SQRs being undetectable in this rod and recording. Such total silence in darkness was observed in 3 other rods out of 5 studied. In one rod a rate of 0.006 per second was determined from the current probability density histogram, and in a sixth rod recorded after the publication of [57], there was one event distinctly identified by eye in a ca. 1000 second epoch (implying 0.001 per second, or  $2.5 \times 10^{-13}$  events per pigment molecule per second,  $R^* s^{-1}$ ). By any statistics, these results are incompatible with those from *Bufo marinus*, and even in the 2 out of 6 rods where any dark events at all were detected, it is doubtful whether they originate in the A1 pigment. *Rana catesbeiana*, as opposed to *Bufo marinus*, uses the inherently less stable A2 chromophore during the larval stage and even in the adult stage in the dorsal retina [65], but minimal amounts in other parts of the retina cannot be excluded. (It should be noted that SQRs produced by A1 and A2 pigments cannot be distinguished [66]). A natural hypothesis would be that the *Rana* opsin has evolved to limit noise when collaborating with A2, and that this results in exceptional stability of the A1 version of the pigment. Even a minute fraction of A2 remaining may significantly increase the rate of dark events. P. Ala-Laurila et al. [67] tested the relative noise contribution of A1 and A2 pigment systematically in experiments on rods of larval tiger salamander (*Ambystoma tigrinum*), where the native pigment is almost 100% A2. They measured changes in dark event rates upon replacing most of the A2 by A1, and plotted the rates as function of remaining A2 (Fig. 6). The dark events decreased linearly with decreasing A2 fraction, extrapolating to a rate of 0.0009 events per rod per second ( $\sim 2.4 \times 10^{-13} R^* s^{-1}$ ) for zero A2 (pure A1). This suggests that A1 pigments of *Ambystoma tigrinum* ( $\lambda_{\max} = 502$  nm) and *Rana catesbeiana* ( $\lambda_{\max} = 502$  nm) have roughly the same, extremely low thermal activation rates, which are more than one order of

magnitude lower than those in *Bufo marinus* ( $\lambda_{\max} = 503$  nm) ([26]:  $1.2 \times 10^{-11}$  R\*s<sup>-1</sup>; [39]:  $3.2 \times 10^{-12}$  R\* s<sup>-1</sup>) and in *Bufo bufo* ( $\lambda_{\max} = 502$  nm) ([68]:  $5.4 \times 10^{-12}$  R\* s<sup>-1</sup>; [34]:  $8.4 \times 10^{-12}$  R\* s<sup>-1</sup>). (All values refer to rates temperature-corrected to 21°C.) With respect to the existence of species differences, it may further be noted that Luo et al. [39] found a 16-fold higher temperature-corrected activation rate per molecule of visual pigment in mouse rods compared with *Bufo marinus* rods. *A priori*, toad and mouse pigments are expected to be roughly similar, and if anything, a possible difference would be expected to go in the opposite direction (mouse rod  $\lambda_{\max} = 497$  nm [69]).

Thus there can be little doubt that true interspecies variation exists around the average functional relationships of  $\lambda_{\max}$ ,  $k$  and  $E_a$  (Fig. 1). However, besides species differences and “acceptable” random differences between results from different laboratories, there are cases where results on the *same* photoreceptor species differ to a degree for which there is no clear explanation. It is tempting to think that there could be polymorphisms between populations/strains of the same species used in different laboratories. These amphibians, unlike laboratory rodents, have not been bred for global standardization of strains. For example, significant spectral polymorphism (not due to varying A2 admixture) has been found between individuals of the common frog *Rana temporaria*, with rod  $\lambda_{\max}$  varying by 8 nm, far more than normal experimental variation [70]. Above, I have also referred to the significant differences in  $E_a$  and  $k$  values of *Bufo marinus* reported in [39] compared with those in [45] ( $E_a$ ) and [26] ( $k$ ).

A major unresolved conflict of this kind concerns the thermal stability of the blue-sensitive pigment of *Bufo* “green” rods (with  $\lambda_{\max} \approx 432$ – $433$  nm, [6]). Whereas G. Matthews [54] originally reported an unexpectedly high dark event rate, 4 times higher than in the “red” rods (see above), D.G. Luo et al. [39] found an event rate 100 times *lower* than in the “red” rods. The high value seemed to make sense when it was shown that the anuran green-rod pigment is an SWS2 cone pigment [55]. Cone pigments are generically less stable by 2–3 orders of magnitude [71–73, 39, 42], but the most short-wavelength-sensitive ones may approach the stability of rod pigments [74, 75]. The recent discovery of a stabilizing mutation in the anuran green-rod SWS2 pigment [56] might give reason to expect it to be particularly silent even compared with rod pigments, but really there is no easy explanation for the large discrepancy of the estimates in [54] and [39]. This question certainly merits further investigation.

#### TUNING OF SPECTRAL ABSORBANCE AND THERMAL STABILITY BY THE OPSIN

Over 30 years, a huge literature has accumulated on how amino acid residues in the opsin tune the chromophore for different spectral absorbances in single species and across species (e.g. [76–80]). On a general level, the results exemplify the “multiple realizability” of function in complex biological systems, meaning in this case that (for practical purposes) identical spectral absorbance can be achieved by different combinations of amino acids in critical positions. This also makes it seem likely that similar spectra can be associated with different thermal properties, and that mutations affecting spectral and thermal properties may be independent targets of natural selection. Recently there has been increasing interest specifically in the tuning of thermal stability [56, 81]. Earlier, N. Fyhrquist et al. [82] sequenced the rod opsins of the anuran species presented above as examples where the same  $\lambda_{\max}$  is associated with different dark event rates: *Rana catesbeiana* (plus two other *Rana* species, as well as *Xenopus laevis*) vs. the two *Bufo* species. Although it was not possible to identify unique residues for stability, among sixteen non-conserved substitutions and six involving gain/loss of hydroxyl groups, a few clear contrasts between *Bufo* and *Rana* were found. Some of these were shared by the *Rana* opsins and the *Xenopus laevis* opsin (which

also has to collaborate with the A2 chromophore). The resolution of such studies have improved since then. In [81], two residues were identified that explain at least some of the generically increased stability of rod compared with cone pigments, and in [56] a single threonine at position 47 was identified as responsible for the rod-like stability of the anuran (in contrast to the urodelan) blue-cone pigment (SWS2). The green rods equipped with this stabilized pigment allow frogs to make blue/green wavelength discriminations at the absolute threshold of vision [83].

These developments enable us to address new evolutionary questions. Does the rarity of strongly stabilized rod pigments like those of *Rana catesbeiana* and *Ambystoma tigrinum* (Figs. 5 and 6) indicate that pigment noise in e.g. *Bufo* and other typical vertebrate rods is already driven to an acceptably low level, given the presence of other noise sources? In other words, is the extreme silence of *Rana* and *Ambystoma* A1 pigments mainly a side effect of having to limit the noisiness of the A2 pigment? Could there be mutations making pigments with  $\lambda_{\max}$  beyond the long-wavelength limit of ca. 630 nm found in nature stable enough to be useful? On a different track, it is interesting to follow the engineering of microbial (“type 1”) rhodopsins with the purpose of developing improved tools for optogenetics, for example, by moving their spectral absorbance further into the infrared [84–87].

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